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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,014	04/19/2001	Crystal C. Watkins	55802

CONFIRMATION NO. 3068

FORMALITIES LETTER



\*OC000000006423679\*

Dike Bronstein, Roberts & Cushman  
Intellectual Property Group  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209

**COPY OF PAPERS  
ORIGINALLY FILED**

Date Mailed: 08/15/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1562.
  - \$972 for 54 total claims over 20.
  - \$320 for 4 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2402.**

*A copy of this notice **MUST** be returned with the reply.*

*SC*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**RAM** Fee History  
Query  
Revenue Accounting and Management

Name/Number: 09840014  
Start Date: Any Date

Total Records Found: 7  
End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
01/31/2002	00000128	1	<u>201</u>	\$370.00	01/28/2002	OP
01/31/2002	00000129	1	<u>205</u>	\$65.00	01/28/2002	OP
01/31/2002	00000130	1	<u>202</u>	\$42.00	01/28/2002	OP
01/31/2002	00000131	1	<u>203</u>	\$90.00	01/28/2002	OP
01/31/2002	00000132	1	<u>204</u>	✓ \$140.00	01/28/2002	OP
01/31/2002	00000133	1	<u>216</u>	\$200.00	01/28/2002	OP
02/01/2002	00000003	1	<u>581</u>	\$40.00	01/28/2002	OP

To Charge: 202 - ~~168~~ 126.00  
203 - ~~154~~ 144 -

202 - 168 - 42 = 126

203 - 234 - 90 = 144

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SB270284  
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Practitioner No. 55802 (71699)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Watkins et al.  
Serial No.: 09/840,014 Group No.: 1614  
Filed: April 19, 2001 Examiner: Not Yet Assigned  
For: METHODS FOR PREVENTION AND TREATMENT OF  
GASTROINTESTINAL DISORDERS

**Box Missing Part**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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COMPLETION OF FILING REQUIREMENTS  
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

I.  This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed  
August 15, 2001.

NOTE: If these papers are filed before the office letter issues, adequate identification of the original papers should be made, e.g., in addition to the name of the inventor and title of invention, the filing date based on the "Express Mail" procedure, the serial number from the return post card or the attorney's docket number added.

A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

NOTE: The PTO requires that a copy of Form PTO-1533 be returned with the response to the notice to file missing parts to the application.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

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Trademark Office.

Susan M. Dillon  
Signature

Date 12/17/01

Susan M. Dillon  
(type or print name of person certifying)